

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Eric WALTHER

Confirmation No.

Application No:

Group Art Unit:

Filing Date:

Examiner:

For: PROCESS FOR THE OXIDATION OF  
UNSATURATED ALCOHOLS

Atty. Docket No.: 81455-5620

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Pursuant to applicants' duty of disclosure under 37 C.F.R. 1.56, enclosed is a PTO form 1449 which lists ( 4 ) cited references for the Examiner's review and consideration. These references were cited in the International Search Report, a copy of which is enclosed.

Pursuant to the recent rule change in the Official Gazette, only copies of the foreign references are enclosed. Copies of the U.S. references are not submitted. It is respectfully requested that these references be made of record in this application by the Examiner's completion and return of the PTO Form 1449.

No fee or certification is believed to be due for this submission since the references are being submitted concurrent with the filing of this application. Should any fees be required, however, please charge such fees to **Winston & Strawn** Deposit Account No. 501-814.

Date: 9-22-03

Respectfully submitted,



Allan A. Fanucci (Reg. No. 30,256)

**WINSTON & STRAWN**  
**CUSTOMER NO. 28765**  
(212) 294-3311

Enclosures

<b>LIST OF REFERENCES CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>					ATTY. DOCKET NO.:		APPLICATION SERIAL NO.:	
					81455-5620			
					APPLICANT:			
					Eric WALTHER			
					FILING DATE:		GROUP:	
<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AA							
	AB							
	AC							
	AD							
<b>FOREIGN PATENT DOCUMENTS</b>								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AE	EP 1 103 537 A1	5/2001	Europe			X	
	AF							
	AG							
	AH							
<b>OTHER REFERENCES</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
	AI	Aneli et al., XP-002238208, "Fast and Selective Oxidation of Primary alcohols to Aldehydes or to Carboxylic Acids and of Secondary Alcohols to Ketones Mediated by Oxoammonium Salts under Two-Phase Conditions", Journal of Org. Chem, Vol. 52, No. 12, pp2659-2663, (1987)						
	AJ	Dijksman et al., XP-002238182, "Polymer immobilised TEMPO (PIPO): an efficient catalyst for the chlorinated hydrocarbon solvent-free and bromide-free oxidation of alcohols with hypochlorite". Chem. Commun. pp 271-271 (2000)						
	AK	Nooy et al., XP-002072173, "On the Use of Stable Organic Nitroxyl Radicals for the Oxidation of Primary and Secondary Alcohols, Synthesis, George Thieme Verlag, Stuttgart, DE, PP 1153-1174 (1996)						
<b>EXAMINER</b>					<b>DATE CONSIDERED</b>			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								